



PC20553-US

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 30th day of August, 2005

By

(Signature of person mailing)

Rebecca Pesantéz

(Typed or printed name of person)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Elliot, et al.

Examiner: C. Delacrouix Muirheid

SERIAL NO.: 10/632,281

Unit: 1614

FILED: AUGUST 1, 2003

FOR: THERAPEUTIC COMBINATIONS OF ERBB  
KINASE INHIBITORS AND ANTINEOPLASTIC  
THERAPIES

Commissioner of Patents  
Alexandria, VA 22313-1450

Sir:

SUBSTITUTE POWER OF ATTORNEY  
& CHANGE OF CORRESPONDENCE REQUEST

I hereby revoke all previous powers of attorney given in the application identified in the accompanying statement under 37 C.F.R. § 3.73(b). I hereby appoint the practitioners associated with the Customer Number: 23913 as attorney(s) or agent(s) to represent the undersigned before the U.S. Patent and Trademark Office in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 C.F.R. § 3.73(b).

Please change the correspondence address for the application(s) identified in the attached statement under 37 C.F.R. § 3.73(b) to the address associated with Customer Number: 23913.

This request is made in the name of Warner-Lambert Company, the assignee of record of the application identified in the accompanying statement under 37 C.F.R. § 3.73(b) and the undersigned is authorized to act on behalf of the assignee.

Date: August 30, 2005  
Pfizer Inc.  
Patent Dept., 5th Fl.  
150 East 42nd Street  
New York, NY 10017-5755  
(212) 573-1390

Grover F. Fuller, Jr.  
Assistant Secretary, Warner-Lambert Co., LLC  
(formerly known as Warner-Lambert Co.)



PC20553-US

hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 30th day of August, 2005

By

*Rebecca Pesantez*  
(Signature of person mailing)

Rebecca Pesantez

(Typed or printed name of person)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Elliot, et al.

Examiner: C. Delacrouix Muirheid

SERIAL NO.: 10/632,281

Unit: 1614

FILED: AUGUST 1, 2003

FOR: THERAPEUTIC COMBINATIONS OF ERBB  
KINASE INHIBITORS AND ANTINEOPLASTIC  
THERAPIES

Commissioner of Patents  
Alexandria, VA 22313-1450

Sir:

STATEMENT UNDER 37 C.F.R. § 3.73(B)

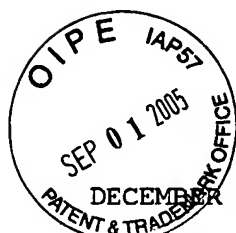
Warner-Lambert Company is the assignee of the entire right, title, and interest in the above-identified patent application by virtue of an assignment from the inventor(s) of the patent application identified above. The instant application is a utility application that claims priority to two U.S. provisional applications, Serial Nos. 60/401,705 and 60/462,247. Each of the provisional applications were assigned and the assignment was recorded in the U.S. Patent & Trademark Office at Reel: 014209 and Frame: 0500. A copy of the assignment and recordation cover sheet is attached. This statement is made in the name of Warner-Lambert Company and the undersigned is authorized to act on behalf of the assignee.

Date: August 30, 2005

Pfizer Inc.  
Patent Dept., 5th Fl.  
150 East 42nd Street  
New York, NY 10017-5755  
(212) 573-1390

*Grover F. Fuller, Jr.*

Grover F. Fuller, Jr.  
Assistant Secretary, Warner-Lambert Co., LLC  
(formerly known as Warner-Lambert Co.)



DECEMBER 22, 2003

ROSANNE GOODMAN  
WARNER-LAMBERT COMPANY LLC  
2800 PLYMOUTH ROAD  
ANN ARBOR, MI 48105



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



PTAS

\*700057726\*

20553-GB

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/19/2003

REEL/FRAME: 014209/0500

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

ELIOTT, WILLIAM LEON

DOC DATE: 12/19/2003

## ASSIGNOR:

FRY, DAVID WILLIAM

DOC DATE: 07/24/2003

## ASSIGNEE:

WARNER-LAMBERT COMPANY LLC  
201 TABOR ROAD  
MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 60401705 20553 ✓

PATENT NUMBER:

FILING DATE: 08/07/2002

ISSUE DATE:

SERIAL NUMBER: 60462247 ✓

PATENT NUMBER:

FILING DATE: 04/11/2003

ISSUE DATE:

014209/0500 PAGE 2

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12/19/2003  
700057726

Form PTO-1595 (Rev. 03/01) OMB No. 0551-0027 (exp. 5/31/2002) Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼		RECORDATION FORM COVER SHEET <b>PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies):  William Leon ELLIOTT David William FRY  Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Warner-Lambert Company LLC</u> Internal Address: _____ _____ _____ Street Address: <u>201 Tabor Road</u> _____ _____ City: <u>Morris Plains</u> State: <u>NJ</u> Zip: <u>07950</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>7/24/03; 12/19/03</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>60/401,705</u> <u>60/462,247</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Rosanne Goodman</u> Internal Address: <u>Warner-Lambert Company LLC</u> _____ _____ Street Address: <u>2800 Plymouth Road</u> _____ _____ City: <u>Ann Arbor</u> State: <u>MI</u> Zip: <u>48105</u>		6. Total number of applications and patents involved: <u>2</u> 7. Total fee (37 CFR 3.41).....\$ <u>80.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>23-0455</u> (Attach duplicate copy of this page if paying by deposit account)			
<b>DO NOT USE THIS SPACE</b>					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Rosanne Goodman</u> <u>Rosanne Goodman</u> <u>12/19/03</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: <u>4</u>					

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

62

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Office 703-306-5995

on December 19, 2003

Date

Cindy Malocha  
Signature

Cindy Malocha

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify  
each submitted paper.

No. of Pages 5

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

## PATENTS ONLY

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

William Leon ELLIOTT  
David William FRYAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 7/24/03; 12/19/03

## 2. Name and address of receiving party(ies)

Name: Warner-Lambert Company LLC

Internal Address: \_\_\_\_\_

Street Address: 201 Tabor Road

City: Morris Plains State: NJ Zip: 07950

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

60/401,705  
60/462,247

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rosanne Goodman

Internal Address: Warner-Lambert Company LLC

Street Address: 2800 Plymouth Road

City: Ann Arbor State: MI Zip: 48105

## 6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41).....\$ 80.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

23-0455

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Rosanne Goodman

Name of Person Signing

  
Signature12/19/03  
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT**

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY LLC, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including provisionals, divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY LLC, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY LLC, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all provisional, divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY LLC; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY LLC, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known) : 60/401,705  
Filing Date (if known) : 08/07/2002  
Execution Date(s) of Application : \_\_\_\_\_

Serial No. (if known) : 60/462,247  
Filing Date (if known) : 04/11/2003  
Execution Date(s) of Application : \_\_\_\_\_

Title: Therapeutic combinations of erb B kinase inhibitors and antineoplastic therapies



FULL NAME OF INVENTOR : WILLIAM LEON ELLIOTT

Inventor's signature :

Residence :

William L Elliott

Date:

12/19/03

Ann Arbor, MI 48103

Citizenship :

Post Office Address :

United States

1966 Encore Court

Ann Arbor, MI 48103

STATE OF Michigan

COUNTY OF Washtenaw

On December 19, 2003, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free acts and deeds in and for the purpose set forth in said instrument.

(SEAL)

Lucinda M. Malocha

Notary Public

My Commission Expires:

LUCINDA M. MALOCHA  
NOTARY PUBLIC WASHTENAW CO., MI  
MY COMMISSION EXPIRES Sep 21, 2004

FULL NAME OF INVENTOR : DAVID WILLIAM FRY

Inventor's signature :

Residence :

David William Fry Date: 7-24-03  
Ypsilanti, MI 48197

Citizenship :

Post Office Address :

United States

4659 Ash Court

Ypsilanti, MI 48197

STATE OF Michigan

COUNTY OF Washtenaw

On July 24, 2003, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free acts and deeds in and for the purpose set forth in said instrument.

(SEAL)

Lucinda M. Malocha  
Notary Public

My Commission Expires:

LUCINDA M. MALOCHA  
NOTARY PUBLIC WASHTENAW CO., MI  
MY COMMISSION EXPIRES Sep 21, 2004

Confirmation Report - Memory Send

Date & Time: Dec-19-2003 04:46pm  
Tel line :  
Machine ID :

Job number : 032  
Date & Time : Dec-19 04:44pm  
To : 917033065995  
Number of pages : 005  
Start time : Dec-19 04:44pm  
End time : Dec-19 04:46pm  
Pages sent : 005  
Status : OK

Job number : 032 \*\*\* SEND SUCCESSFUL \*\*\*

Approved for use through 10/31/2003, OAH 0451-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OAH record number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Office 703-306-5995

on December 19, 2003  
Date

Cindy Malocha  
Signature

Cindy Malocha  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify  
each submitted paper.

No. of Pages 5

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case.  
Any comment on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark  
Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Records,  
Washington, DC 20231.

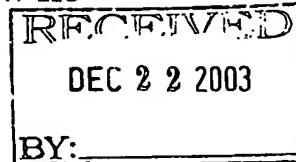
21

# Facsimile Transmission

*This company uses RightFAX® fax  
server software from RightFAX, Inc.*

**From:** Name: USPTO ASSIGNMENT DIVISION  
Fax Number:  
Voice Phone: 703-308-9723

**To:** Name: ROSANNE GOODMAN  
Company: WARNER-LAMBERT COMPANY LLC  
Fax Number: 17346221553  
Voice Phone:



## Fax Notes:

---

Pg#	Description
1	Cover Page
2	640.TXT
4	Document 1, Batch 275936

PTAS FAX PROCESSING

---

Date and time of transmission: Monday, December 22, 2003 12:00:04 PM  
Number of pages including this cover sheet: 04

---